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JC903 U.S. PTO  
10/040906

01/09/02

1051 U.S. PTO  
01/09/02

January 9, 2002

## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket Number: **58764.000036**

First Named Inventor: **Greta ARNAUT et al.**

Title: **NOVEL BACILLUS THURINGIENSIS INSECTICIDAL PROTEINS**

TO: **BOX PATENT APPLICATION**  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached are the following for filing with the U.S. Patent and Trademark Office:

1.  Fee Transmittal Form (original and duplicate)
2.  Small Entity Status Claimed:  Independent Inventor  
 Small Business Concern  
 Non-Profit Organization
3.  Specification - Total Pages: 46 (Including Abstract)

Statement Enclosed  
 Statement Filed in Prior Application; Status Still Proper and Desired

CLAIMS AS FILED						
	Claims Filed	Basic Fee Claims	Extra	Rate		Amount
				Large Entity	Small Entity	
Total Claims		20	0	\$ 18.00	\$ 9.00	\$ 0.00
Independent Claims		3	0	\$ 84.00	\$ 42.00	\$ 0.00
First Presentation of Multiple Dependent Claims				\$ 280.00	\$ 140.00	\$ 0.00
<b>BASIC FEE</b>				\$ 740.00	\$ 370.00	\$ 0.00
<b>TOTAL FILING FEE</b>						\$ 0.00
Assignment Recordation Fee						\$ 0.00
<b>TOTAL AMOUNT ENCLOSED</b>						\$ 0.00

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4.  Drawings - Total Sheets: \_\_\_\_\_ (Fig(s). \_\_\_\_\_)

5. Oath or Declaration - Total Pages: 4

a.  Newly executed (original or copy)  
 New (unexecuted)

b.  Copy from a prior application  
(for continuation/divisional with Box 18 completed)

i.  DELETION OF INVENTOR(s):  
Signed statement attached deleting inventor(s) named in prior application.

6.  Application Data Sheet

7.  CD-ROM or CD-R in duplicate, large table or Microfiche Computer Program (Appendix)

8.  Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a.  Computer Readable Form (CRF)

b.  Specification Sequence Listing on:

i.  CD-ROM or CD-R (two copies); or

ii.  Paper Copy (identical to computer copy)

c.  Statements verifying identity of above copies

9.  Assignment and Assignment Recordation Form

10.  37 C.F.R. 3.73(b) Statement  Power of Attorney

11.  English Translation Document (if applicable)

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12.  Information Disclosure Statement with PTO-1449 and References  
 Copies of Information Disclosure Statement Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard

15.  Priority is Claimed as Follows:  
\_\_\_\_\_  
\_\_\_\_\_

If Foreign Priority is Claimed, Certified Copy of the Above Priority Document(s) is Submitted Herewith

16.  Nonpublication Request under 35 U.S.C. § 1222(b)(2)(B)(i). Applicant must attach Form PTO/SB/35 or its equivalent.

17.  Other: \_\_\_\_\_

18.  Continuation       Divisional       Continuation-in-Part of  
Prior Application No.: 09/756,296 filed January 9, 2001

Prior application information: Examiner Amy J. Nelson Group Art Unit 1638

Incorporation By Reference (useable if Box 5b is marked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application papers.

Complete Application Based on Provisional Application No.: \_\_\_\_\_  
filed \_\_\_\_\_

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19. Please address all correspondence to:

**CUSTOMER NUMBER** 21967

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20.  A check in the amount of \$ \_\_\_\_\_ is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any such variance to **Deposit Account No. 50-0206**.

The Commissioner is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to **Deposit Account No. 50-0206**.

Respectfully submitted,

By:

  
Robert M. Schulman  
Registration No. 31,196

RMS:nej  
Enclosures